

Atty. Docket No. MIC35 P-333

CERTIFICATE OF MAILING

I hereby certify that this paper, together with all enclosures identified herein, are being deposited with the United States Postal Service as first class mail, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the date indicated below.

January 26, 2004

Date

Deborah A. Witvoet
Deborah A. Witvoet

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Claire Hartmann-Thompson
Appln. No. : 10/694,027 (unofficial)
Filing Date : October 27, 2003 (unofficial)
For : FUNCTIONALIZED PARTICLES FOR COMPOSITE SENSORS

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97(b)

Pursuant to 37 CFR §§1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached Form PTO-1449. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application.

Copies of the listed foreign patent documents and the non-patent literature are attached.

As the Office has waived the requirement under 37 CFR §1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication, a copies of the listed U.S. patents and each U.S. patent application publication documents are not included with the submission of attached Form PTO 1449. Applicant respectfully requests that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitutes "prior art." If it should be determined that any of the listed documents do not

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constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.


If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 16-2463.

Respectfully submitted,

CLAIRE HARTMANN-THOMPSON


By: Price, Heneveld, Cooper,
DeWitt & Litton, LLP

January 26, 2004
Date



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GJE/daw

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		ATTY. DOCKET NO. MIC35 P-333	SERIAL NO. 10/694,027 (unofficial)
		APPLICANT Claire Hartmann-Thompson	
		FILING DATE October 27, 2003 (unofficial)	GROUP

U.S. PATENT DOCUMENTS


EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPRO- PRIATE
	6 6 0 3 1 9 2	08/05/03	Thomas et al.			
	6 3 1 5 9 5 6	11/13/01	Foulger			
	5 9 3 2 2 3 1	08/03/99	LeGrow et al.			
	5 9 2 8 7 2 9	07/27/99	Derosa			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLA- TION
						Y N
	JP2003 1 6 6 1 7 0	06/13/03	Japan			X Abst only
	JP2003 0 1 2 9 3 8	01/15/03	Japan			X Abst only
	JP2001 1 1 6 6 8 6	04/27/01	Japan			X Abst only

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL		
EXAMINER	DATE CONSIDERED	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

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						Y N
	WO 02/ 0 9 9 8 2 2	12/12/02	PCT			X
	WO 01/ 0 8 8 0 4 2	11/22/01	PCT			X
	WO 92/ 0 1 0 5 2 8	06/25/92	PCT			X Abst only

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
	US 2003/0162987 A1, Houser et al., August 28, 2003, 11 pages.
	US 2003/0156290 A1, Colvin, Jr. et al., August 21, 2003, 11 pages.
	US 2002/0131898 A1, Fleischer et al., September 19, 2002, 7 pages.
	US 2002/0065349 A1, Manoharan, May 30, 2002, 11 pages.
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
	"Luminescence Quenching in Polymer/Filler Nanocomposite Films Used in Oxygen Sensors," Lu, X., and Winnik, M. A., <i>Chem. Mater.</i> 2001, 13, pp. 3449-3463.
	"Template Synthesis and Characterization of Layered Al- and Mg-Silsesquioxanes," Ukrainczyk, L., Bellman, R. A., and Anderson, A. B., <i>J. Phys. Chem. B</i> , 1997, 101, pp. 531-539.
	"X-ray Characteristics of Polyethylene Polyhedral Oligomeric Silsesquioxane Copolymers," <i>Macromolecules</i> 2002, 35, pp. 2375-2379.
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
	"A Novel Metallopolymer Nanocomposite Chemical Sensor," Lou Jianzhong; Ariarugirl, Girish; Sankar, Jag. <i>Polymeric Materials Science and Engineering</i> (2003), 89 655, CODEN: PMSEDG ISSN: 0743-0515, Abstract only, one page.
	"A Novel Metallopolymer Nanocomposite Chemical Sensor," Lou Jianzhong; Ariarugirl, Girish; Sankar, Jag. <i>Abstracts of Papers</i> , 226 th ACS National Meeting, New York NY, United States, September 7-11, 2003 (2003), PMSE-392, Abstract only, one page.
	"Example: Polypropylene (isotactic) with 10% Octamethyl-POSS," Schwab, et al., <i>Polymer Preprints</i> 2001, 42, 48, two pages.
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